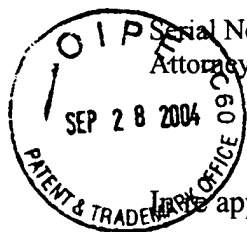


09-29-04

IFW #



Serial No. 10/692,165
Attorney Docket No. 60,680-741

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor application of: Wu, et al.

Serial No.: 10/692,165 Group Art Unit: 3753
Filed: 10/23/2003 Examiner: Unassigned
For: LATERAL PLATE SURFACE COOLED HEAT EXCHANGER
Atty Dkt. No.: 60,680-741

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT - 37 C.F.R. §1.97

Dear Sir/Madam:

Applicant submits this Supplemental Information Disclosure Statement in accordance with the duty of disclosure under 37 C.F.R. §1.56 and §1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b)(3). Enclosed herewith is a List of References Cited by Applicant (PTO-1449) (one sheet).

Inasmuch as each of the references listed on attached Form PTO-1449 is in English, no further commentary by Applicant is believed necessary, 37 C.F.R. §1.98(a)(3). Applicant respectfully solicits the Examiner's consideration of the cited references and entry thereof into the record of this application.

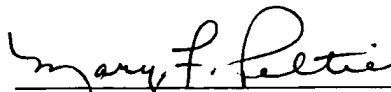
10/01/2004 JADD01 00000037 042223 10692165

01 FC:1806 100-00 DA

CERTIFICATE OF EXPRESS MAIL

Express Mail Label No.: ER521952751US
Date of Deposit: 09/28/2004


I hereby certify that this Supplemental Information Disclosure Statement - 37 C.F.R. § 1.97 is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.



Mary F. Peltier

Applicant, by submitting this Information Disclosure Statement, is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Information Disclosure Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, is otherwise "material to patentability," as that term is defined in that section.

Respectfully submitted,

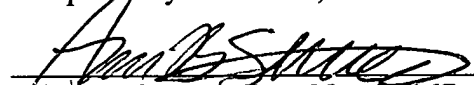

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Attorney for Applicant
Customer No. 26127

Dated: September 28, 2004

PETITION AND FEE

Applicant believes that this Supplemental Information Disclosure Statement is being submitted prior to the mailing date of the first Office Action in the above-identified matter, in accordance with 37 C.F.R. § 1.97(b)(3). However, in the event that the first Office Action has already been mailed and Applicant has not yet received the Office Action, Applicant hereby petitions for consideration of this Supplemental Information Disclosure Statement and authorizes the Assistant Commissioner to deduct the fee of \$180.00 required under 37 C.F.R. § 1.97(c)(2) and 37 C.F.R. § 1.17(p) from Deposit Account No. 04-2223. The Assistant Commissioner is further to authorized deduct any additional fees required in connection with this Supplemental Information Disclosure Statement from Deposit Account No. 04-2223 and to credit any overpayment to Deposit Account No. 04-2223.

Respectfully submitted,


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(248) 203-0764
Attorney for Applicant
Customer No. 26127

Dated: September 28, 2004

Complete if Known

(use as many sheets as necessary)

| | | | |
|-------|---|----|---|
| Sheet | 1 | of | 1 |
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| | |
|------------------------|------------|
| Application Number | 10/692,165 |
| Filing Date | 10/23/2003 |
| First Named Inventor | WU ET AL. |
| Art Unit | 3753 |
| Examiner Name | UNASSIGNED |
| Attorney Docket Number | 60,680-741 |

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|------------|--|
| Date | |
| Considered | |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

| CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) | | | | Docket No. | |
|---|---------------------------|---|------------------------|-----------------------|------------------------|
| Applicant(s): WU ET AL. | | | | 60,680-741 | |
| Application No. 10/692,165 | Filing Date 10/23/2003 | SEP 28 2004 C60 PATENT & TRADEMARK OFFICE | Examiner UNASSIGNED | Customer No. 26127 | Group Art Unit 3753 |
| Invention: LATERAL PLATE SURFACE COOLED HEAT EXCHANGER | | | | | |
| I hereby certify that the following correspondence: | | | | | |
| FORM PTO-1449 | | | | | |
| (Identify type of correspondence) | | | | | |
| is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on | | | | | |
| 09/28/2004 (Date) | | | | | |
| MARY F. PELTIER (Typed or Printed Name of Person Mailing Correspondence) | | | | | |
| Mary F. Peltier (Signature of Person Mailing Correspondence) | | | | | |
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